

SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)

證券交收指示 / 投資者交收指示

To Dongxing Securities (HK) Co., Ltd: - Settlement Department:

致東興證券(香港)有限公司 – 交收部:

Account No. 戶口號碼:		Account Name 客戶名稱:	
Name of CCASS Participant 中央結算系統參與者名稱		CCASS Participant No. 中央結算系統參與者編號	
東興證券(香港)有限公司		B02003	
This is to authorise you to act in accordance with my/our following settlement instruction (SI) / Investment Settlement Instruction (ISI) through CCASS:- 茲授權 貴公司經中央結算執行本人/吾等以下之交收指示:-			
☐	Please receive the following securities for my / our securities account maintained with DongXing Securities (HK) Co., Ltd 請將以下證券接收到本人/吾等於東興證券(香港)有限公司開立之證券帳戶內		
☐	Please deliver the following securities from my / our securities account maintained with DongXing Securities (HK) Co., Ltd 請將以下證券從本人/吾等於東興證券(香港)有限公司開立之證券帳戶內交出		
Details of Counterparty 交收對手資料:			
Name of CCASS Participant 中央結算系統參與者名稱		CCASS Participant No. 中央結算系統參與者編號	
Contact Person & Number 聯絡人姓名及電話		Value Date 執行日期	
Stock Code 股票編號	Stock Name 股票名稱	Quantity 股票數量	Method of Settlement 交收方式
			FOP 無需付款 DVP 貨銀對付 \$HKD
			☐ ☐ \$HKD
			☐ ☐ \$HKD
			☐ ☐ \$HKD
			☐ ☐ \$HKD
☐	This SI/ISI involves no change in beneficial ownership. 以上交收指示並不牽涉實益擁有人之變更。		
☐	There is change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We here provide the original copy of Stamped Bought and Sold notes as supporting. 以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。		
☐	I / We accept Dongxing Securities (HK) Company Limited to submit my/our BCAN and the identification data to SEHK' s data repository. 本人/吾等同意東興證券(香港)有限公司向香港聯交所數據資料庫及相關監管機構提供本人/吾等之投資者識別碼及相關資料。		
Date 日期:		Client Signature(s) 客戶簽署:	
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Handled By(CS/Set)	Reviewed By (CD/Fin)	Approved By (R.O)	Inputted By
Checked By			